

# **Compliance and Control Attestations for Everyone** - RiskNZ Members \$115 + GST, Non-members \$140 + GST

## **About**

“Are things working as they should?”

Whether formal or informal, this is the central question on executives’ and leaders’ minds as they pursue organisational goals while managing risk and meeting their obligations. Frontline attestations play a critical role in answering this question.

This course explains why attestations matter and why you receive them, what evidence to consider before responding, and how to recognise when something isn’t quite right. It also explores what happens after you submit an attestation, how your answers support management and decision-making, and the behaviours that help make attestations meaningful, reliable, and valuable.

## **Course expectations**

- Watch 3 videos
- Access 1 downloadable material
- Answer 5 quiz questions

## **Timings**

- 15 minutes of video content
- 20 minutes for the whole course

## **Course description**

In this course, you'll learn:

### **1. What are attestations and why do we need them?**

- Definition of attestation
- Types of attestations
  - Outcome focused
  - Task focused
- Application of attestations
  - Compliance focus
  - Control focus
- Purpose of risk metrics
  - Assurance
  - Red flags
  - Reminders

### **2. Roles in an attestation process**

- Attestation responders
  - Responsibility for outcomes
  - Ownership of responsive actions
- Ownership of the attestation process
  - Designing questions and collaboration
- Report recipients

### **3. Key steps in an attestation process**

- Tools for issuing and responding to attestations
- Frequency of attestations
- Cadence of the attestation process
- How to answer an attestation
- Information you need to provide in an attestation
  - Evidence
  - Related actions or activity
  - Commentary
- Improving the attestation process
  - Challenging question design
  - Challenging value of specific questions

**Next steps: Register Via:** [adminofficer@risknz.org.nz](mailto:adminofficer@risknz.org.nz) , **Receive Invoice, Payment, Set-up with Log In To Course**